

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

## **Complete if Known**

Application Number	To Be Assigned
Filing Date	To Be Assigned
First Inventor	Koujirou SEKINE et al.
Group Art Unit	To Be Assigned
Examiner Name	To Be Assigned
Attorney Docket No.	15162/03060

Sheet **1** of **1**

## **U.S. PATENT DOCUMENTS**

Examiner Initials	Cite #	DOCUMENT NUMBER	C O D E	PATENTEE	ISSUE DATE (mm/dd/yy)	CLASS	SUB CLASS	Filing Date if Appropriate
MJS	(1)	5,335,240		Ho et al.	08/02/94	372	39	
MJS	(2)	5,406,573		Ozbay et al.	04/11/95	372	43	
MJS	(3)	5,526,449		Meade et al.	06/11/96	385	14	
MJS	(4)	5,739,796		Jasper, Jr. et al.	04/14/98	343	895	
MJS	(5)	5,740,287		Scalora et al.	04/14/98	385	6	
MJS	(6)	5,784,400		Joannopoulos et al.	07/21/98	372	96	
MJS	(7)	5,973,823		Koops et al.	10/26/99	359	322	
MJS	(8)	5,987,208		Grüning et al.	11/16/99	385	146	
MJS	(9)	5,990,850		Brown et al.	11/23/99	343	912	
MJS	(10)	5,998,298		Fleming et al.	12/07/99	438	692	
MJS	(11)	5,999,308		Nelson et al.	12/07/99	359	321	
MJS	(12)	6,002,522		Todori et al.	12/14/99	359	573	
MJS	(13)	6,028,693		Fork et al.	02/22/00	359	248	
MJS	(14)	6,058,127		Joannopoulos et al.	05/02/00	372	92	
MJS	(15)	6,064,506		Koops	05/16/00	359	237	
MJS	(16)	6,075,915		Koops et al.	06/13/00	385	125	

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials	O F F I C E	NUMBER	C O D E	PUBLICATION DATE (mm/dd/yy)	TRANSLATION Yes No

## **OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
Examiner Signature	<i>Michael J. Stahl</i>
Date Considered	12/4/02

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with the next communication to applicant.

ic872 U.S. PTO  
09/765229



Substitute for form 1449A/PTO

## Complete if Known

Application Number	09/765,229
Filing Date	January 18, 2001
First Inventor	Koujirou SEKINE et al.
Group Art Unit	2881
Examiner Name	To Be Assigned
Attorney Docket No.	15162/03060

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

Show 1 of 1

## U.S. PATENT APPLICATION DOCUMENTS

Examiner Initials	Cite #	SERIAL NUMBER	C O D E	APPLICANT(S)	FILED DATE (mm/dd/yy)	CLASS	SUB CLASS

## FOREIGN PATENT DOCUMENTS

Examiner Initials	O F F I C E	NUMBER	C O D E	PUBLICATION DATE (mm/dd/yy)	TRANSLATION Yes No

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
MJS	(1)	"Guided-Wave Acoustooptic Bragg Modulators for Wide-Band Integrated Optic Communications and Signal Processing", Chen S. Tsai, <u>IEEE Transactions on Circuits and Systems</u> , Volume CAS-26, No. 12, December 1979, pages 1072-1098
MJS	(2)	"Inhibited Spontaneous Emission in Solid-State Physics and Electronics", Eli Yablonovitch, <u>Physical Review Letters</u> , Volume 58, No. 20, May 18, 1987, pages 2059-2062
MJS	(3)	"Existence of a Photonic Gap in Periodic Dielectric Structures", K.M. Ho, C.T. Chan, and C.M. Soukoulis, <u>Physical Review Letters</u> , Volume 65, No. 25, December 17, 1990, pages 3152-3155
Examiner Signature	Michael J. Pate	
	Date Considered	12/4/02

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with the next communication to applicant.



RECEIVED  
MAY 15 2001  
TECHNOLOGY CENTER 2800